

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/709,347	04/29/2004	John F. Poole	SYB/0108.00	3346
31779 JOHN A. SMA	7590 08/28/200 RT	7	EXAMINER	
	HILL RD., #201		SEYE, ABDOU K	
LOS GATOS, (JA 95032-3503		ART UNIT	PAPER NUMBER
			2194	
			MAIL DATE	DELIVERY MODE
			08/28/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

				/V"		
		Application No.	Applicant(s)			
Office Action Summary		10/709,347	POOLE ET AL.			
		Examiner	Art Unit			
		Abdou Karim Seye	2194			
۔۔ Period fo	- The MAILING DATE of this communication app Reply	pears on the cover sheet wit	th the correspondence address			
WHICI - Extens after S - If NO - Failure Any re	PRTENED STATUTORY PERIOD FOR REPL HEVER IS LONGER, FROM THE MAILING D sions of time may be available under the provisions of 37 CFR 1.1 SIX (6) MONTHS from the mailing date of this communication. period for reply is specified above, the maximum statutory period to reply within the set or extended period for reply will, by statute toply received by the Office later than three months after the mailined patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNIC 36(a). In no event, however, may a re- will apply and will expire SIX (6) MON' a. cause the application to become AB	CATION. Poply be timely filed I'HS from the mailing date of this communical ANDONED (35 U.S.C. § 133).			
Status						
1)🛛	Responsive to communication(s) filed on <u>18 J</u>	<u>une 2007</u>				
2a)⊠	This action is FINAL . 2b) ☐ This	s action is non-final.				
,						
	on of Claims					
	Claim(s) <u>1-40</u> is/are pending in the application					
	ta) Of the above claim(s) is/are withdra	wn from consideration.				
'-	Claim(s) is/are allowed.		÷			
•	Claim(s) <u>1-40</u> is/are rejected.					
	Claim(s) is/are objected to. Claim(s) are subject to restriction and/o	or election requirement	·			
8)□	Claim(s) are subject to restriction and/c	or election requirement.				
Application	on Papers	•				
9) 🔲 🗆	The specification is objected to by the Examine	er.				
10)⊠ The drawing(s) filed on <u>29 April 2004</u> is/are: a)⊠ accepted or b)□ objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
	Replacement drawing sheet(s) including the correct	ction is required if the drawing	(s) is objected to. See 37 CFR 1.12	1(d).		
11) 🔲 🗆	Γhe oath or declaration is objected to by the Ε	xaminer. Note the attached	Office Action or form PTO-152	•		
Priority u	nder 35 U.S.C. § 119		•			
12)[] A	Acknowledgment is made of a claim for foreign	n priority under 35 U.S.C. §	119(a)-(d) or (f).			
	☐ All b)☐ Some * c)☐ None of:	, p	,	•		
-	1. ☐ Certified copies of the priority documen	ts have been received.				
	2. Certified copies of the priority documen		pplication No			
	3. Copies of the certified copies of the price					
	application from the International Burea					
* S	ee the attached detailed Office action for a list	t of the certified copies not	received.			
	•		Λ /			
		/	THOMSON			
		WI	LIAM THOMSON ORY PATENT EXAMINER			
Attachment			Summary (PTO-413)			
	e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail Date			
3) Inform	nation Disclosure Statement(s) (PTO/SB/08) r No(s)/Mail Date	5) Notice of I	nformal Patent Application —·			

Application/Control Number: 10/709,347 Page 2

Art Unit: 2194

DETAILED ACTION

Response to Amendment

1. The amendment filed on June 18, 2007 has been received and entered. The amendment amended Claims 1-3, 20-22. The currently pending claims considered below are Claims 1-40. The Examiner notes that Claims 39 and 40 are not included into the new list of claims submitted by the applicant. The examiner considers this as a typographical error from the applicant and treats these two claims as they should have been part of the new list of claims.

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language

3. Claims 1-11,13-31 and 32-40 are rejected under 35 U.S.C. 102(e) as being anticipated by Brown et al. (20040199636).

Art Unit: 2194

Claims 1, 19-21 <u>Brown</u> teaches, in a database system, a method system and product for providing a stored procedure as a Web service, the method comprising:

predefining a stored procedure to be invoked upon receiving a client request for a particular Web service (paragraph 28);

receiving an incoming request from a particular client for the particular Web service at an HTTP server incorporated into the database system (abstract; FIG. 2; paragraph 26-30; the database system is the service provider 11 of FIG. 2);

in response to the incoming request, identifying the stored procedure that is predefined for the particular Web service (paragraph 28, 45 and 58);

executing the identified stored procedure for generating a result set; and returning the result set back to the particular client (Fig. 6; paragraph 30)

Claim 2, <u>Brown</u> teaches, wherein the incoming request is received by an HTTP server that is built into a database engine of the database system (abstract; FIG. 2: 25).

Claim 3, <u>Brown</u> further teaches, upon receiving the incoming request, verifying that the request comprises a valid HTTP request for a Web service (paragraph 43; security check).

Art Unit: 2194

Claim 4, Brown teaches, wherein client requests employ HTTP protocol.

Claim 5, <u>Brown</u> further teaches, wherein client requests further specify a selected one of XML, SOAP, WSDL, and raw format.

Claim 6, <u>Brown</u> teaches, wherein said returning step further comprises:

formatting the result set into a particular presentation format; and thereafter returning the formatted result set back to the particular client (paragraph 26, posting XML message to the web via HTTP server).

Claim 7, Brown teaches, wherein said executing step further comprises:

creating a temporary pseudo connection to a database engine of the database system; and executing the stored procedure through said temporary pseudo connection (paragraph 28).

Claim 8, <u>Brown</u> teaches, wherein the incoming request comprises a URL (paragraph 29).

Art Unit: 2194

Claim 9, <u>Brown</u> further teaches, wherein the URL includes parameter

information affecting how the identified stored procedure is executed (paragraph 64-70).

Page 5

Claim 10, Brown teaches, wherein the identified stored procedure may include any valid

SQL statement (paragraph 69).

Claim 11, <u>Brown</u> teaches, wherein the identified stored procedure itself may invoke

other stored procedures (paragraph 28).

Claim 13, Brown teaches, wherein the identified stored procedure selects data from a

database, and wherein the result set returned to the particular client comprises that data

formatted in a manner suitable for return via HTTP protocol (paragraph 28-29, 31 and

46).

Claim 14, Brown further teaches, wherein the data is formatted for return as

XML-formatted data (paragraph 47).

Art Unit: 2194

Claim 15, <u>Brown</u> further teaches wherein the result set comprises a plurality of database rows, and wherein the XML-formatted data comprises said plurality of database rows delimited with XML row tags (paragraph 113).

Claim 16, <u>Brown</u> teaches, wherein the identified stored procedure itself may set HTTP header information that is returned to the particular client (paragraph 31).

Claim 17, <u>Brown</u> teaches, wherein the incoming request is received via a selected one of HTTP, FTP, and telnet protocol (paragraph 31).

Claim 18, <u>Brown</u> teaches, wherein the system first checks user authentication for the particular client before executing the identified stored procedure (paragraph 43).

As per claims 22-31 and 33-40, they are rejected for the same reasons as the claims above.

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103 (a) which forms the basis for all obviousness rejections set forth in this Office action:

Art Unit: 2194

Page 7

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

5. Claims 12 and 32 are rejected under 35 U.S.C. 103 (a) as being unpatentable over Brown et al. (20040199636) in view of <u>Crisan et al</u> (US 20030191769).

Claims 12 and 32, <u>Brown</u> teaches, in a database system, a method, system and product for providing a stored procedure as a Web service as in claims 1, 19-21 above, but he does not explicitly teach, wherein execution of the identified stored procedure occurs asynchronously with respect to the incoming request. However, in the same field of endeavor, <u>Crisan</u> teaches in (paragraph140 and 144) asynchronous execution of a workflow function. It would be obvious to one having ordinary skill in the art at the time the invention was made to modify <u>Brown's</u> invention with <u>Crisan's</u> invention to asynchronously manage flow of operations on a workflow. One would have been motivated to asynchronously execute stored procedures on workflow process in order to provide efficient execution of a business logic (<u>Crisian</u>; paragraph 58)

Art Unit: 2194

6. Applicant's arguments filed on June 18, 2007 with respect to claims 1-40 have been considered but are most in view of the new ground(s) of rejection.

Conclusion

7. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Estrada et al (US 7237002) discloses a system and method for dynamic browser management of web site.

Khalessi et al (US 6633900) discloses a mobile crew management system for distributing work order assignments to mobile field crew units.

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Art Unit: 2194

Any inquiry concerning this communication or earlier communications from the examiner should be directed to <u>Abdou Seye</u> whose telephone number is <u>(571)</u> <u>270-1062</u>. The examiner can normally be reached on <u>Mon - Fri, 7:30am - 4pm</u>.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, William Thomson can be reached on 571-272-3718. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

AKS August 20, 2007

> WILLIAM THOMSON WILLIAM THOMSON WISORY PATENT EXAMINER